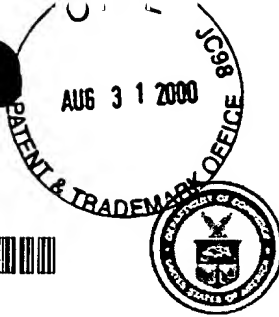


FORMALITIES LETTER



OC000000005158552

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/534,038	03/24/2000	Susanne C. Tinker	7883.0004-02

Finnegan Henderson Farabow Garrett & Dunner LLP
1300 I Street N W
Washington, DC 20005-3315

205

Date Mailed: 06/06/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/05/2000 AZERGA:1 00000058 09534038

03 FC:205

65.00 00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4

In re Application of)
Serial No. 09/369,039) Group Art Unit: 3762
Filed: August 4, 1999) Examiner: Unknown
For: LEFT VENTRICULAR)
CONDUITS TO CORONARY)
ARTERIES AND METHODS)
FOR CORONARY BYPASS)

JC675 U.S. PTO
09/534038
03/24/00

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: **BOX MISSING PARTS**

Sir:

**RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION**

In response to the communication of August 26, 1999, Applicants submit the required fee of \$130.00, a filing fee of \$760.00, a copy of the Notice of Missing Parts, and a Petition for Extension of Time for five months. These fees are being paid for the purpose of keeping this application pending so that a continuation application, which is being filed on a date even herewith, can be filed.

No declaration is being submitted with this response, as prosecution of the subject matter in this application will be pursued instead in the continuation application.

Please grant any extensions of time required to enter this response and charge any additional required fees not already accounted for above to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: March 24, 2000

By: Susanne C. Tinker
Susanne C. Tinker
Reg. No. 44,472

PERCAR.033CP1
WBB/SHL



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/369,039 08/04/99 LEE

S PERCAR.033CP

020995 0212/0826
KNOBBE MARTENS OLSON & BEAR LLP
620 NEWPORT CENTER DRIVE
SIXTEENTH FLOOR
NEWPORT BEACH CA 92660

NOT ASSIGNED

DATE MAILED: 2762

10675 U.S. PTO
09/534038
03/24/00

08/26/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is \$ 445.00

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 380.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.
Applicant must file a verified English translation of the application, the \$70.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.



576

123

PATENT
Attorney Docket No. 7883.0004-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)

Peter J. WILK et al.)

Serial No.: 09/534,038)

Group Art Unit: 3762

Filed: March 24, 2000)

Examiner: Unknown

For: LEFT VENTRICULAR CONDUITS
TO CORONARY ARTERIES AND
METHODS FOR CORONARY BYPASS

5/2000 AZER52M1 00500050 09534038

Assistant Commissioner for Patents
Washington, D.C. 2023105 FC:576
06 FC:19925.00 03
345.00 03

Attention: OFFICE OF INITIAL PATENT EXAMINATION

Sir:

REQUEST FOR CORRECTED FILING RECEIPT

We are forwarding herewith a copy of a Filing Receipt for the above-identified patent application. Please correct the Filing Receipt as indicated in ink on the attached copy. The changes include:

(a) under Applicant(s), "Susanne C. Tinker, Residence Not Provided." has been replaced with --Peter J. Wilk, New York, NY; Scott J. Wolf, Minneapolis, MN; Greg R. Furnish, Louisville, KY; Simon Furnish, Louisville, KY; Stephen Evans, Westford, MA; Roger D. Kamm, Weston, MA; Nancy M. Briefs, Nashua, NH; David Y. Phelps, Louisville, KY; Richard Renati, San Jose, CA; Gerald Melsky, Lexington, MA; Eun Bo Shim, Kumi, Kyungbuk, Korea; William Sanatmore, Medford, NJ; Todd A. Hall, Goshen, KY; Daniel Burkhoff, Tenafly, NJ--; and

(b) under Continuing Data as Claimed by Applicant, "PCT/US99/03483" has been replaced with --PCT/US99/03484--.

LAW OFFICES

FINNEGAN, HENDERSON,
FARABOW, GARRETT,
& DUNNER, L.L.P.
1300 I STREET, N. W.
WASHINGTON, DC 20005
202-408-4000

The required fee of \$25.00 as set forth in 37 C.F.R. § 1.19(h) is enclosed herewith.

It is respectfully requested that a corrected Filing Receipt be issued as soon as possible.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By: Susanne C. Tinker
Susanne C. Tinker
Reg. No. 44,472

Dated: August 31, 2000

LAW OFFICES

FINNEGAN, HENDERSON,
FARABOW, GARRETT,
& DUNNER, L.L.P.
1300 I STREET, N. W.
WASHINGTON, DC 20005
202-408-4000

BCM/LIB/SCT

FILING RECEIPT



OC000000005158551

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/534,038	03/24/2000	3762	345	7883.0004-02	69	7	1

Finnegan Henderson Farabow Garrett & Dunner LLP
1300 I Street N W
Washington, DC 20005-3315

Date Mailed: 06/06/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) *Peter J. Wilk, New York, NY; Scott J. Wolf, Minneapolis, MN; Greg R. Furnish, Louisville, KY; Simon Furnish, Louisville, KY; Stephen Evans, Westford, MA; Roger D. Kamm, Boston, MA; Nancy M. Briefs, Nashua, NH; David Y. Phelps, Louisville, KY; Susanne C. Tinker, Residence Not Provided; Richard Rengati, San Jose, CA; Gerald Melsky, Lexington, MA; Eun Bo Shum, Kumi, Kyungbuk, Korea; William Santamore, Medford, NJ; Todd A. Hall, Gascon, KY; Daniel Burkhardt, Tenafly, NJ*

Continuing Data as Claimed by Applicant

RECEIVED

THIS APPLICATION IS A CON OF 09/369,039 08/04/1999
WHICH CLAIMS BENEFIT OF 60/099,691 09/10/1998
AND CLAIMS BENEFIT OF 60/099,720 09/10/1998
AND CLAIMS BENEFIT OF 60/104,397 10/15/1998
AND CLAIMS BENEFIT OF 60/099,767 09/10/1998
AND A CIP OF 09/016,485 01/30/1998 ABN
WHICH IS A 371 OF PCT/US99/03483 02/17/1999
PCT/US99/03484

FINNEGAN, HENDERSON, FARABOW,
GARRETT AND DUNNER, LLP

Foreign Applications

If Required, Foreign Filing License Granted 06/05/2000

** SMALL ENTITY **

Title

Left ventricular conduits to coronary arteries and methods for coronary bypass

Preliminary Class

604

OK
6/7
RS



[Handwritten signature]

PATENT
Attorney Docket No. 7883.0004-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Peter J. WILK et al.

Serial No.: 09/534,038

Filed: March 24, 2000

For: LEFT VENTRICULAR CONDUITS
TO CORONARY ARTERIES AND
METHODS FOR CORONARY BYPASS

203 x 26.
Group Art Unit: 3762

Examiner: Unknown

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: **BOX MISSING PARTS**

Sir:

**RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION**

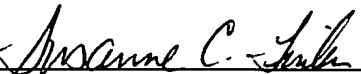
In response to the communication of June 6, 2000, Applicants submit
Declarations and Powers of Attorney for the above-identified application, the required
fee of \$65.00, filing fee of \$579.00 (including \$234.00 corresponding to an additional
claims fee), and a copy of the Notice of Missing Parts. A Verified Statement Claiming
Small Entity Status is being submitted concurrently herewith. Applicants also are filing
a Petition for one-month of Extension of Time, and the requisite fee, with this
Response.

Please associate the enclosed Declarations and Powers of Attorney and Verified
Statement Claiming Small Entity Status with the above-identified application.

Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By: 
Susanne C. Tinker
Reg. No. 44,472

Dated: August 31, 2000